

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/790,200	03/02/2004	Keisuke Hatasaki	520.43557X00	1024
24956 7590 06/01/2007 MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C. 1800 DIAGONAL ROAD			EXAMINER	
			NAHAR, QAMRUN	
SUITE 370 ALEXANDRIA	A, VA 22314		ART UNIT	PAPER NUMBER
	,		2191	
			MAIL DATE	DELIVERY MODE
			06/01/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

,	Application No.	Applicant(s)	
	10/790,200	HATASAKI, KEISUKE	
Office Action Summary	Examiner	Art Unit	
·	Qamrun Nahar	2191	
The MAILING DATE of this communication Period for Reply	appears on the cover sheet w	ith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REWHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication  - If NO period for reply is specified above, the maximum statutory pe  - Failure to reply within the set or extended period for reply will, by st Any reply received by the Office later than three months after the mearned patent term adjustment. See 37 CFR 1.704(b).	B DATE OF THIS COMMUNI R 1.136(a). In no event, however, may a riod will apply and will expire SIX (6) MOI atute, cause the application to become A	CATION. reply be timely filed ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 0	2 March 2004		
	This action is non-final.		
3) Since this application is in condition for allo		ters, prosecution as to the merits is	
closed in accordance with the practice und	•		
Disposition of Claims			
4)⊠ Claim(s) <u>1-10</u> is/are pending in the applicat	tion.		
4a) Of the above claim(s) is/are with	drawn from consideration.		
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>1-10</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction an	nd/or election requirement.		
Application Papers			
9)⊠ The specification is objected to by the Exam	niner.		
10)⊠ The drawing(s) filed on <u>02 March 2004</u> is/ar	re: a)⊠ accepted or b)□ ob	jected to by the Examiner.	
Applicant may not request that any objection to	the drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the cor			
11) The oath or declaration is objected to by the	e Examiner. Note the attache	d Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12)⊠ Acknowledgment is made of a claim for fore a)⊠ All b)□ Some * c)□ None of:	eign priority under 35 U.S.C.	§ 119(a)-(d) or (f).	
1.⊠ Certified copies of the priority docum	nents have been received.		
2. Certified copies of the priority docum		application No	
3. Copies of the certified copies of the			
application from the International Bu	reau (PCT Rule 17.2(a)).		
* See the attached detailed Office action for a	list of the certified copies no	received.	
Attachment(s)			
1) Notice of References Cited (PTO-892)		Summary (PTO-413) s)/Mail Date	
<ol> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO/SB/08)</li> </ol>	,	nformal Patent Application	
Paper No(s)/Mail Date <u>03/02/2004</u> .	6)  Other:	·	

Application/Control Number: 10/790,200 Page 2

Art Unit: 2191

#### **DETAILED ACTION**

1. Claims 1-10 have been examined.

## Specification

2. The abstract of the disclosure is objected to because it contains more than 150 words. Correction is required. See MPEP § 608.01(b).

#### Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 1-10 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 5. Claim 1 recites the limitation "the basis" in line 9 of the claim. There is insufficient antecedent basis for this limitation in the claim. Therefore, this limitation is interpreted as "basis".
  - Claims 2-3 are rejected for dependency upon rejected base claim 1 above.
- 6. Claim 2 recites the limitation "a piece of software" on line 8 of the claim, which renders the claim indefinite because claim 1 recites "software" on line 1 of the claim as well. It is unclear whether the piece of software on line 8 of claim 2 refers to the software on line 1 of claim 1 or whether this is another software. The limitation "a piece of software" on line 8 of

Art Unit: 2191

claim 2 is interpreted as "the software". Furthermore, the limitation "said piece of software" on

Page 3

lines 9-10 of claim 2 is also interpreted as "the software".

7. Claim 4 recites the limitation "the basis of said information on said user computer

system" in lines 8-9 of the claim. There is insufficient antecedent basis for this limitation in the

claim. Therefore, this limitation is interpreted as "basis of said information on said user

computer system".

8. Claim 4 recites the limitation "the basis of said software-updating patch" in lines 11-12

of the claim. There is insufficient antecedent basis for this limitation in the claim. Therefore,

this limitation is interpreted as "basis of said software-updating patch".

9. Claim 5 recites the limitation "the basis" in line 7 of the claim. There is insufficient

antecedent basis for this limitation in the claim. Therefore, this limitation is interpreted as

"basis".

Claims 6-8 are rejected for dependency upon rejected base claim 5 above.

10. Claim 9 recites the limitation "the basis" in line 7 of the claim. There is insufficient

antecedent basis for this limitation in the claim. Therefore, this limitation is interpreted as

"basis".

Claim 10 is rejected for dependency upon rejected base claim 9 above.

Art Unit: 2191

11. Claim 9 recites the limitation "a software-updating patch" on line 8 of the claim, which renders the claim indefinite because the claim recites "a software-updating patch" on line 5 of the claim as well. It is unclear whether the software-updating patch on line 8 of the claim refers to the software-updating patch on line 5 of the claim or whether this is another software-updating patch. The limitation "a software-updating patch" on line 8 of the claim is interpreted as "the software-updating patch".

Claim 10 is rejected for dependency upon rejected base claim 9 above.

## Claim Rejections - 35 USC § 102

12. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 13. Claims 1-2, 4-6 and 9-10 are rejected under 35 U.S.C. 102(b) as being anticipated by Rodriguez (U.S. 6,487,718).

#### Per Claim 1:

The Rodriguez patent discloses:

- acquiring user computer system information including information on hardware employed in said user computer system and information on said software installed in said user computer system ("Client 402 includes image 406, which is a complete collection of the

Application/Control Number: 10/790,200 Page 5

Art Unit: 2191

user operating environment, including for example, system memory ... A snapshot or copy of image 406 is made from client 402 to form a snapshot image, which is stored on server 400." in column 6, lines 30-37 and column 7, lines 25-35)

- constructing a test environment for testing operations of said user computer system on the basis of said acquired user computer system information; updating said software in said test environment by using a software-updating patch; determining whether or not said operations of said user computer system are carried out normally by execution of said updated software in said test environment ("... This snapshot image is booted or started on a network computer in a service environment ... This network computer is selected to have a configuration that is identical to that of the client from which the image was taken. The new application is installed ... and the application is tested in the new environment ..." in column 7, lines 55-63)

- and supplying said software-updating patch to said user computer system and using said software-updating patch to update said software installed in said user computer system if said operations of said user computer system are determined to be normal ("... determine whether the client that is to receive the updated snapshot image is turned on so that the snapshot image can be sent to the client. ..." in column 7, line 63 to column 8, line 3).

#### Per Claim 2:

The Rodriguez patent discloses:

Art Unit: 2191

- wherein said user computer system comprises a means for monitoring said user computer

Page 6

system information and a means for updating said software installed in said user computer

system; and wherein said user computer system acquires said software-updating patch and

uses said software-updating patch to update a piece of software installed in said user

computer system without halting execution of a program included said piece of software

(column 8, lines 38-48).

Per Claim 4:

The Rodriguez patent discloses:

- transmitting information on said user computer system from said user computer system

to said vendor computer system ("Client 402 includes image 406, which is a complete

collection of the user operating environment, including for example, system memory ... A

snapshot or copy of image 406 is made from client 402 to form a snapshot image, which is stored

on server 400." in column 6, lines 30-37 and column 7, lines 25-35)

- selecting a proper software-updating patch in said vendor computer system on the basis

of said information on said user computer system and transmitting said selected proper

software-updating patch to said user computer system ("... determine whether the client that

is to receive the updated snapshot image is turned on so that the snapshot image can be sent to

the client. ..." in column 7, line 63 to column 8, line 3)

Art Unit: 2191

- constructing a test environment on the basis of said software-updating patch received

from said vendor computer system; using said test environment for evaluating said

software-updating patch to give a result of evaluation; using said software-updating patch

for updating said software installed in said user computer system if said result of

evaluation is good; and transmitting said result of evaluation to said vendor computer

system to prompt said vendor computer system to transmit another software-updating

patch if said result of evaluation is bad ("... This snapshot image is booted or started on a

network computer in a service environment ... This network computer is selected to have a

configuration that is identical to that of the client from which the image was taken. The new

application is installed ... and the application is tested in the new environment ..." in column 7,

lines 55-63).

Per Claim 5:

The Rodriguez patent discloses:

- a user computer system management unit having a means for receiving information on

said user computer system from said user computer system ("Client 402 includes image 406,

which is a complete collection of the user operating environment, including for example, system

memory ... A snapshot or copy of image 406 is made from client 402 to form a snapshot image,

which is stored on server 400." in column 6, lines 30-37 and column 7, lines 25-35)

Art Unit: 2191

- a means for constructing a test environment for said user computer system on the basis of

Page 8

said information on said user computer system and a software-updating patch; a means for

examining said software-updating patch by using said test environment to determine

whether or not said software-updating patch is suitable for said user computer system ("...

This snapshot image is booted or started on a network computer in a service environment ... This

network computer is selected to have a configuration that is identical to that of the client from

which the image was taken. The new application is installed ... and the application is tested in

the new environment ..." in column 7, lines 55-63)

- and a means for transmitting said software-updating patch determined to be suitable for

said user computer system to said user computer system ("... determine whether the client

that is to receive the updated snapshot image is turned on so that the snapshot image can be sent

to the client. ..." in column 7, line 63 to column 8, line 3).

Per Claim 6:

The Rodriguez patent discloses:

- a means for logically dividing a real machine of said vendor computer system into a

plurality of logical partitions; and a means for constructing said test environment for one

of said logical partitions (column 6, lines 37-34).

Per Claim 9:

Art Unit: 2191

This is a system version of the claimed method discussed above, claim 4, wherein all claim limitations also have been addressed and/or covered in cited areas as set forth above.

Thus, accordingly, this claim is also anticipated by Rodriguez.

### Per Claim 10:

The Rodriguez patent discloses:

- a means for logically dividing a real machine of said user computer system into a plurality of logical partitions; and a means for constructing said test environment for one of said logical partitions (column 6, lines 37-34).

## Claim Rejections - 35 USC § 103

- 14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 15. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Rodriguez (U.S. 6,487,718) in view of Lau (U.S. 7,191,435).

#### Per Claim 3:

The rejection of claim 1 is incorporated, and further, Rodriguez does not explicitly teach wherein said user computer system: acquires a result of a test conducted to determine whether or

Art Unit: 2191

not said operations of said user computer system are carried out normally by execution of said updated software in said test environment; shows said result to a user; and asks said user a question as to whether or not said software installed in said user computer system is to be updated. Lau teaches wherein said user computer system: acquires a result of a test conducted to determine whether or not said operations of said user computer system are carried out normally by execution of said updated software in said test environment; shows said result to a user; and asks said user a question as to whether or not said software installed in said user computer system is to be updated (column 11, lines 60-65 and column 12, lines 7-12).

Page 10

It would have been obvious to one having ordinary skill in the computer art at the time of the invention was made to modify the method disclosed by Rodriguez to include wherein said user computer system: acquires a result of a test conducted to determine whether or not said operations of said user computer system are carried out normally by execution of said updated software in said test environment; shows said result to a user; and asks said user a question as to whether or not said software installed in said user computer system is to be updated using the teaching of Lau. The modification would be obvious because one of ordinary skill in the art would be motivated to facilitate informed decision processes by a software customer (Lau, column 2, line 66 to column 3, line 4).

16. Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rodriguez (U.S. 6,487,718) in view of McCaleb (U.S. 6,751,794).

#### Per Claim 7:

Art Unit: 2191

The rejection of claim 5 is incorporated, and further, Rodriguez does not explicitly teach a means for recording said software-updating patch in a patch database; and a means for accepting a request to update said user computer system from said user computer system and retrieving a software-updating patch proper for said request to update said user computer system from said patch database. McCaleb teaches a means for recording said software-updating patch in a patch database; and a means for accepting a request to update said user computer system from said user computer system and retrieving a software-updating patch proper for said request to update said user computer system from said user computer system from said patch database (column 3, lines 64-67 and column 4, lines 31-44).

It would have been obvious to one having ordinary skill in the computer art at the time of the invention was made to modify the system disclosed by Rodriguez to include a means for recording said software-updating patch in a patch database; and a means for accepting a request to update said user computer system from said user computer system and retrieving a software-updating patch proper for said request to update said user computer system from said patch database using the teaching of McCaleb. The modification would be obvious because one of ordinary skill in the art would be motivated to supply the proper patch based on user computer system information (McCaleb, column 38-50).

## Per Claim 8:

The rejection of claim 5 is incorporated, and further, Rodriguez does not explicitly teach a means for recording information received from said user computer system as said information on said user computer system in a user computer system-information database; and a means for

Art Unit: 2191

retrieving said information on said user computer system from said user computer system-information database. McCaleb teaches a means for recording information received from said user computer system as said information on said user computer system in a user computer system-information database; and a means for retrieving said information on said user computer system from said user computer system-information database (column 4, lines 20-39).

It would have been obvious to one having ordinary skill in the computer art at the time of the invention was made to modify the system disclosed by Rodriguez to include a means for recording information received from said user computer system as said information on said user computer system in a user computer system-information database; and a means for retrieving said information on said user computer system from said user computer system-information database using the teaching of McCaleb. The modification would be obvious because one of ordinary skill in the art would be motivated to supply the proper patch based on user computer system information (McCaleb, column 38-50).

#### Conclusion

17. Any inquiry concerning this communication from the examiner should be directed to Qamrun Nahar whose telephone number is (571) 272-3730. The examiner can normally be reached on Mondays through Fridays from 9:30 AM to 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wei Y Zhen, can be reached on (571) 272-3708. The fax phone number for the organization where this application or processing is assigned is (571) 273-8300.

Art Unit: 2191

Page 13

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the TC 2100 Group receptionist whose telephone number is 571-272-2100.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

QN

May 21, 2007

May Steetmen Primay & xammi